

Resource Utilization Group, Version IV (RUG-IV)

48-Group Calculation Guide

The purpose of this calculation guide for the 48-group model is to provide a step-by-step instructional guide to manually determine the appropriate RUG-IV classification based on the responses from an MDS 3.0 assessment. This guide takes the standard grouper logic and puts it into a readable format.

There are two basic approaches to RUG-IV Classification: (1) **Hierarchical Classification** and (2) **Index Maximizing Classification**. This guide, developed for training purposes, is presented in the hierarchical methodology. Instructions for adapting this guide to the index maximizing approach are included below (see "Index Maximizing Classification").

Hierarchical Classification:

Hierarchical classification is used in some payment systems, in staffing analysis, and in many research projects. In the hierarchical approach, start at the top of the groups (Extensive Services) and work down through the RUG-IV model; the assigned classification is the first group for which the assessment meets qualifiers. In other words, start with Extensive Services and work down through the groups in hierarchical order: Extensive Services, Rehabilitation, Special Care High, Special Care Low, Clinically Complex, Behavioral Symptoms and Cognitive Performance, and Reduced Physical Function. When you find the first of the 48 individual RUG-IV groups for which the assessment qualifies, assign that group as the RUG-IV classification.

If the assessment qualifies in the Extensive Services group and a Special Care High group, always choose the Extensive Services classification because it is higher in the hierarchy. Likewise, if the assessment qualifies for Special Care Low and Clinically Complex, choose Special Care Low. In hierarchical classification, always pick the group nearest the top of the model.

Index Maximizing Classification:

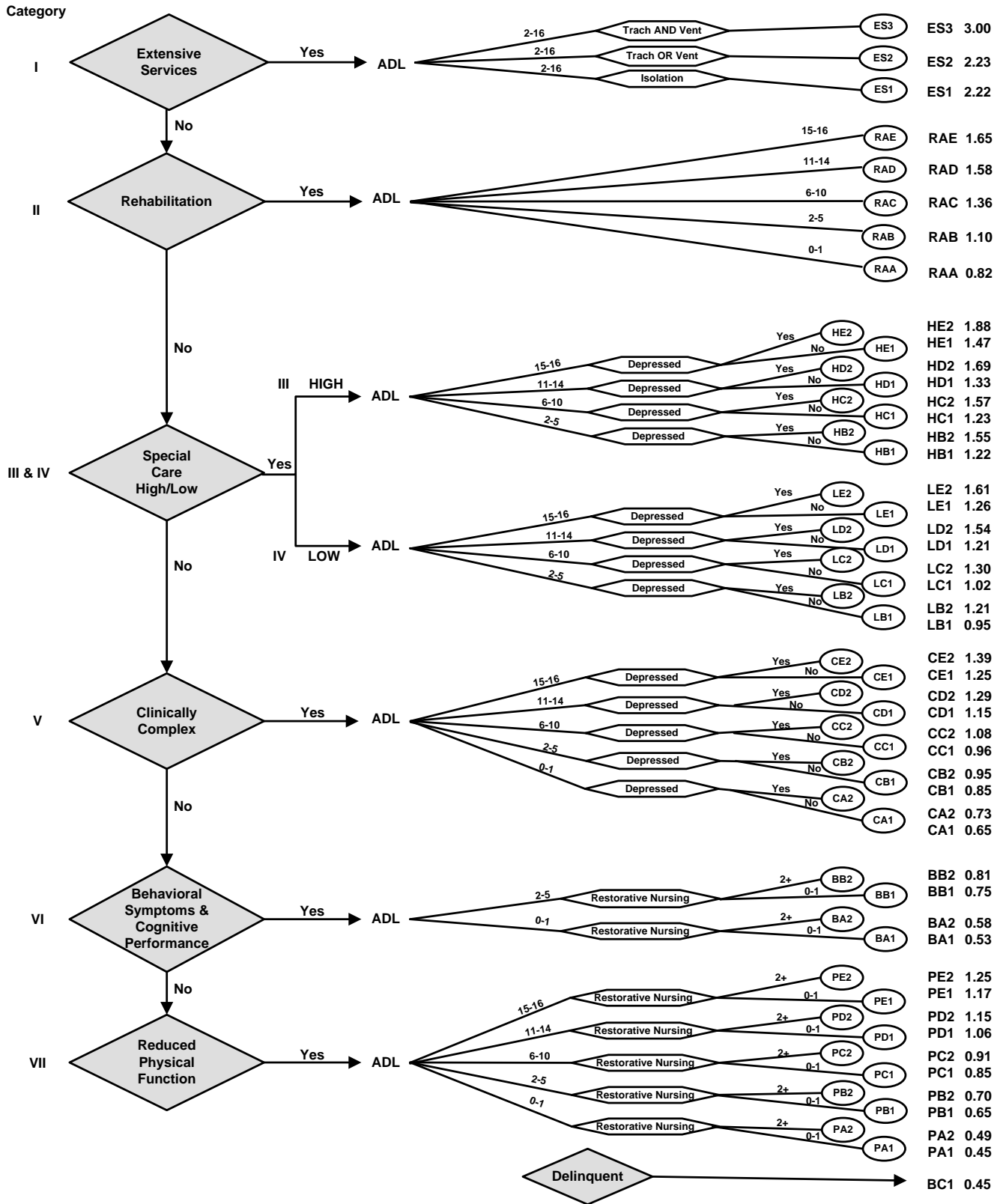
The index maximizing classification approach is used in the Medicaid payment system. There is a designated Case Mix Index (CMI), a numeric value that represents the relative resource utilization associated with each of the 48 RUG-IV classifications. For index maximizing, first determine all of the RUG-IV groups for which the assessment qualifies. Then, from the qualifying groups, choose the RUG-IV group that has the highest CMI.

While the following guide illustrates the hierarchical classification method, it can be adapted for index maximizing. For index maximizing, evaluate all classification groups rather than assigning the assessment to the first qualifying group. In the index maximizing approach, again start at the top of the model, and then work down through all of the RUG-IV classification groups. When all 48-groups are evaluated, determine the qualifying group with the highest CMI. This group is the index-maximized classification for the assessment.

For Case Mix purposes the CMI set F01 is used for index maximizing classification with logic version 1.03 of the RUG-IV grouper.

Resource Utilization Group, Version IV (RUG-IV)

48-Group Calculation Guide



Calculation of “ADL” Score

RUG-IV, 48-Group Hierarchical Classification

The Activities of Daily Living (ADL) score is a component of the calculation for placement in all RUG-IV groups. The ADL score is based upon the four “late loss” ADLs (bed mobility, transfer, toilet use, and eating). This score indicates the level or functional assistance of support required by the resident and is a vital component of the classification process.

Step #1:

To calculate the ADL score use the following chart for bed mobility (G0110A), transfer (G0110B), and toilet use (G0110I) and record the ADL score for each:

Self-Performance Column 1 =	And	Support Provided Column 2 =	ADL Score =	Record Score
-, 0, 1, 7 or 8	and	-, 0, 1, 2, 3 or 8	0	Bed Mobility = _____
2	and	-, 0, 1, 2, 3 or 8	1	Transfer = _____
3	and	-, 0, 1 or 2	2	Toilet Use = _____
4	and	-, 0, 1 or 2	3	
3 or 4	and	3	4	

Step #2:

To calculate the ADL score for Eating (G0110H), use the following chart and record the ADL score for eating:

Self-Performance Column 1 =	And	Support Provided Column 2 =	ADL Score =	Record Score
-, 0, 1, 2, 7 or 8	and	-, 0, 1 or 8	0	Eating = _____
-, 0, 1, 2, 7 or 8	and	2 or 3	2	
3 or 4	and	-, 0 or 1	2	
3	and	2 or 3	3	
4	and	2 or 3	4	

Step #3:

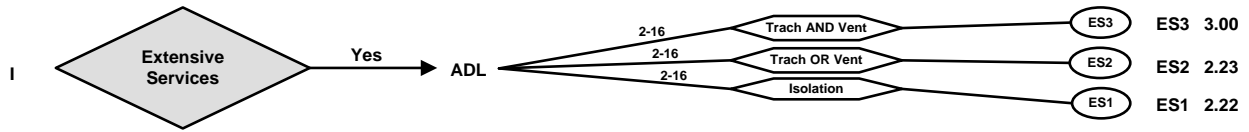
Sum the scores for Bed Mobility, Transfer, Toilet Use and Eating to determine the total ADL score. The total ADL score ranges from 0 through 16; a score of 0 represents independence whereas a score of 16 represents total dependence.

Record Total ADL Score _____

The other ADLs are also very important, but the research indicates that the late loss ADLs predict resource use most accurately. The early loss ADLs do not significantly change the classification hierarchy or add to the prediction of resource use.

Category I: Extensive Services

RUG-IV, 48-Group Hierarchical Classification



Determine whether the assessment is coded for at least **one** of the following conditions or services:

Extensive Services Conditions or Services	
O0100E, 2	Tracheostomy care (while a resident)
O0100F, 2	Ventilator or respirator (while a resident)
O0100M, 2	Infection isolation (while a resident)

Step #1:

- A. If the assessment is not coded with one of the above conditions or services, **skip to Category II, Rehabilitation.**
- B. If the assessment is coded with at least one of the above conditions or services, and the ADL score is 0 or 1, **skip to Category V, Clinically Complex (CA1 or CA2), Step #2.**
- C. If the assessment is coded with at least one of the above conditions or services, and the ADL score is 2 or more, the assessment classifies as Extensive Services; **proceed to Step #2.**

Step #2:

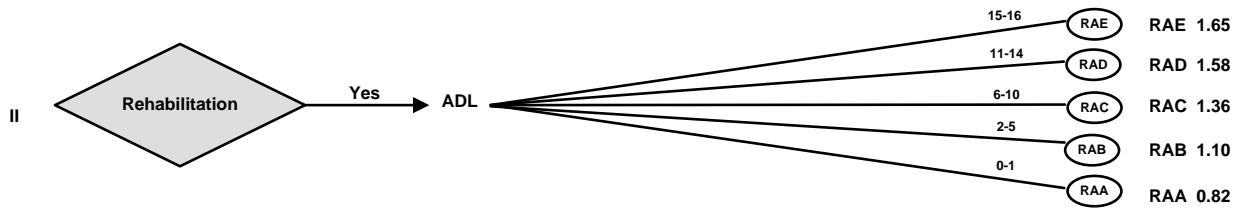
The final classification for Extensive Services is based on the following chart:

<u>Extensive Service Conditions or Services (while a resident)</u>	<u>RUG-IV Class</u>	<u>CMI</u>
A. Tracheostomy care and ventilator/respirator	ES3	3.00
B. Tracheostomy care or ventilator/respirator	ES2	2.23
C. Infection isolation without tracheostomy care and without ventilator/respirator	ES1	2.22

Extensive Services Classification _____

Category II: Rehabilitation

RUG-IV, 48-Group Hierarchical Classification



Rehabilitation classification is any combination of the disciplines of licensed speech-language pathology, occupational therapy or physical therapy services. Restorative Nursing programs are also considered for the low intensity therapy level.

Determine whether the assessment is coded for therapy minutes and days:

O0400 Therapies	
O0400A - Speech-Language Pathology and Audiology Services	
O0400A, 1	Individual Minutes
O0400A, 2	Concurrent Minutes
O0400A, 3	Group Minutes
O0400A, 4	Days
O0400B - Occupational Therapy	
O0400B, 1	Individual Minutes
O0400B, 2	Concurrent Minutes
O0400B, 3	Group Minutes
O0400B, 4	Days
O0400C - Physical Therapy	
O0400C, 1	Individual Minutes
O0400C, 2	Concurrent Minutes
O0400C, 3	Group Minutes
O0400C, 4	Days

Step #1:

- A. If the assessment is not coded for therapy minutes and days, **skip to Category III, Special Care High.**
- B. If the assessment is coded for therapy minutes and days, calculate and sum the total therapy minutes. If the total sum of therapy minutes is less than 45 minutes, the resident does not classify in the Rehabilitation Category; **skip to Category III, Special Care High.**
- C. If the assessment is coded for therapy minutes and days, and the total sum of therapy minutes is equal to or greater than 45 minutes, use the following criteria to determine the Rehabilitation Classification.

Category II: Rehabilitation

RUG-IV, 48-Group Hierarchical Classification

Step #1 C continued:

- (1) Received 150 or more minutes **and**
 At least 5 distinct days of any combination of the 3 disciplines, **proceed to Step #3.**

OR

- (2) Received 45 or more minutes **and**
 At least 3 distinct days of any combination of the 3 disciplines **and**
 2 or more restorative nursing programs received for 6 or more days,
proceed to Step #2.

Step #2:

Determine Restorative Nursing Program Count.

Count the number of restorative nursing programs provided for 6 or more days:

Restorative Nursing Programs	
H0200C	Current toileting program or trial#
H0500	Bowel toileting program#
O0500A	Range of motion (passive)#
O0500B	Range of motion (active)#
O0500C	Splint or brace assistance
O0500D	Bed mobility#
O0500F	Walking#
O0500E	Transfer
O0500G	Dressing and/or grooming
O0500H	Eating and/or swallowing
O0500I	Amputation/Prosthesis care
O0500J	Communication
# For RUG classification count as one program even if both provided	

Record Restorative Nursing Program Count: _____

Proceed to Step #3.

Step #3:

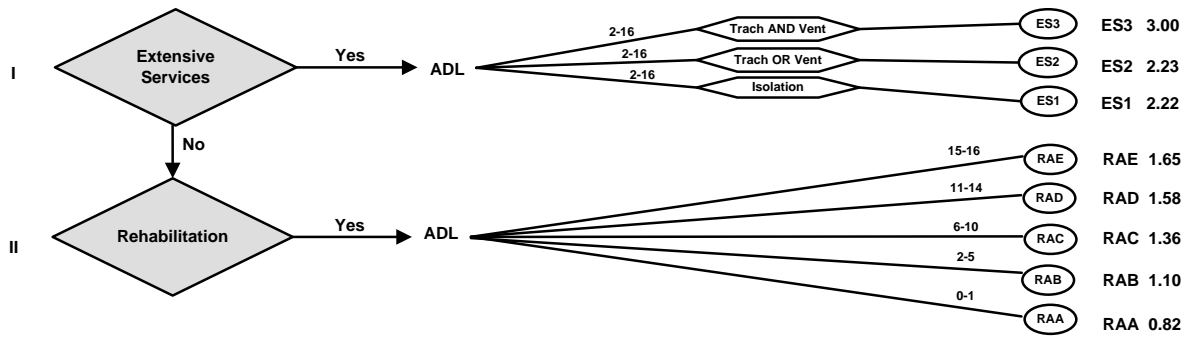
The final classification for Rehabilitation is based on the following chart:

<u>ADL Score</u>	<u>RUG-IV Class</u>	<u>CMI</u>
15 - 16	RAE	1.65
11 - 14	RAD	1.58
6 - 10	RAC	1.36
2 - 5	RAB	1.10
0 - 1	RAA	0.82

Rehabilitation Classification _____

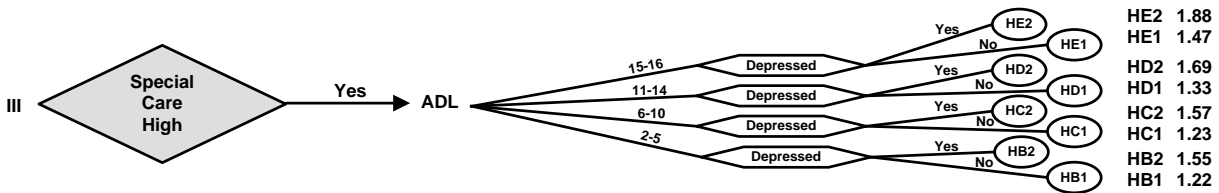
RUG-IV, 48-Group Hierarchical Classification

Categories I & II



Category III: Special Care High

RUG-IV, 48-Group Hierarchical Classification



Determine if the assessment is coded for one of the following conditions or services:

Special Care High Conditions or Services	
B0100	Comatose with ADL Self-Performance dependency coded 4 or 8 for all late loss ADLs
I2100	Septicemia
I2900 N0350A N0350B	Diabetes Mellitus (DM) with both of the following: Insulin injections for all 7 days Insulin order changes on 2 or more days
I5100	Quadriplegia with ADL score of 5 or higher
I6200 J1100C	Chronic Obstructive Pulmonary Disease (COPD) with Shortness of breath when lying flat
J1550A I2000 J1550B K0300, 1 or 2 K0510B, 1 or 2	Fever with one of the following: Pneumonia Vomiting Weight Loss Feeding Tube with requirements*
K0510A, 1 or 2	Parenteral/IV Feeding
O0400D, 2	Respiratory Therapy for all 7 days
<p><i>*Tube feeding requirements:</i></p> <p>(1) Proportion of total calories received through parenteral or tube feeding (K0710A3) is 51% or more of total calories during entire period</p> <p style="text-align: center;">OR</p> <p>(2) Proportion of total calories received through parenteral or tube feeding (K0710A3) is 26% to 50% of total calories and average fluid intake per day (K0710B3) is 501 cc or more during entire period</p>	

Step 1:

- A. If the assessment does not have one of these conditions or services coded, **skip to Category IV, Special Care Low.**
- B. If the assessment does have one of these conditions or services coded and the ADL score is 0 or 1, the assessment classifies as Clinically Complex; **skip to Category V, Clinically Complex (CA1 or CA2), Step #2.**
- C. If the assessment does have one of these conditions or services coded and the ADL score is 2 or more (with the exception of Comatose which requires total dependency and Quadriplegia which requires an ADL score of 5 or higher), the assessment classifies as Special Care High; **proceed to Step #2.**

Category III: Special Care High

RUG-IV, 48-Group Hierarchical Classification

Step #2:

This step evaluates for depression. Signs and symptoms of depression are used as a third-level split for the Special Care High category. Assessments indicating signs and symptoms of depression are identified by the Resident Mood Interview (PHQ-9©) or the Staff Assessment of Resident Mood (PHQ-9-OV©). Instructions for completing the PHQ-9© are in the RAI Manual (Chapter 3, Section D).

The following MDS items comprise the Resident Mood Interview PHQ-9© and Staff Assessment of Resident Mood (PHQ-9-OV©):

Resident Interview	Resident Mood Symptom Frequency	Staff Assessment
D0200A, 2	Little interest or pleasure in doing things	D0500A, 2
D0200B, 2	Feeling (or appearing) down, depressed, or hopeless	D0500B, 2
D0200C, 2	Trouble falling or staying asleep, or sleeping too much	D0500C, 2
D0200D, 2	Feeling tired or having little energy	D0500D, 2
D0200E, 2	Poor appetite or overeating	D0500E, 2
D0200F, 2	Feeling bad about yourself – or that you are a failure or have let yourself or your family down	D0500F, 2
D0200G, 2	Trouble concentrating on things, such as reading or watching TV	D0500G, 2
D0200H, 2	Moving or speaking slowly that others have noticed or being fidgety or restless; moving around a lot more than usual	D0500H, 2
D0200I, 2	Thoughts better off dead or hurting self	D0500I, 2
NA	Being short-tempered, easily annoyed	D0500J, 2
D0300	<u>Total Severity Score</u> These items are used to calculate a Total Severity Score; Item D0300 for the resident interview and Item D0600 for the staff assessment.	D0600

The assessment indicates depression for RUG-IV classification if:

- A.** The Total Severity Score PHQ-9© (D0300), which includes items D0200A-I (Resident Interview), is greater than or equal to 10 but not 99

OR

- B.** The Total Severity Score PHQ-9-OV© (D0600), which includes items D0500A-J (Staff Assessment), is greater than or equal to 10

Resident is considered depressed: Yes _____ No _____

Category III: Special Care High

RUG-IV, 48-Group Hierarchical Classification

Step #3:

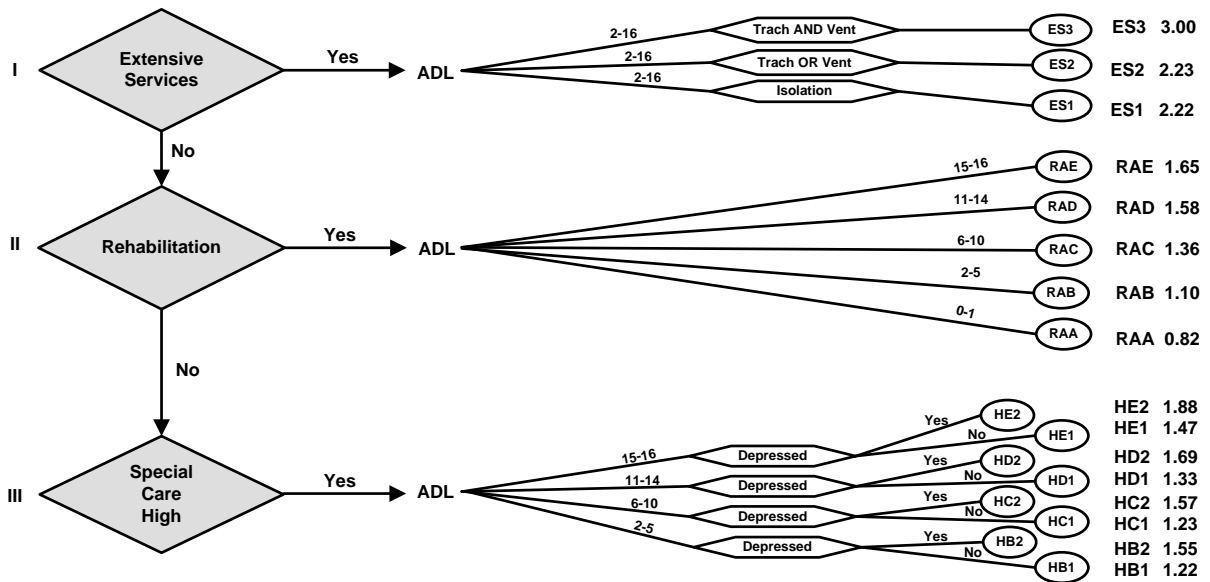
The final classification for Special Care High is based on the following chart:

<u>ADL Score</u>	<u>Depressed</u>	<u>RUG-IV Class</u>	<u>CMI</u>
15 - 16	Yes	HE2	1.88
15 - 16	No	HE1	1.47
11 - 14	Yes	HD2	1.69
11 - 14	No	HD1	1.33
6 - 10	Yes	HC2	1.57
6 - 10	No	HC1	1.23
2 - 5	Yes	HB2	1.55
2 - 5	No	HB1	1.22

Special Care High Classification _____

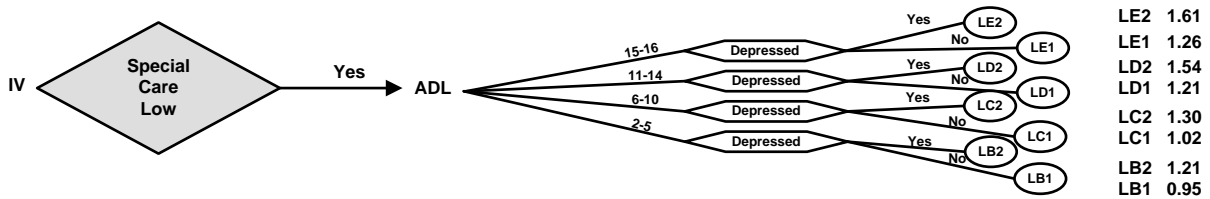
RUG-IV, 48-Group Hierarchical Classification

Categories I, II & III



Category IV: Special Care Low

RUG-IV, 48-Group Hierarchical Classification



Determine if the assessment is coded for one of the following conditions or services:

Special Care Low Conditions or Services	
I4400	Cerebral Palsy with ADL score of 5 or higher
I5200	Multiple Sclerosis (MS) with ADL score of 5 or higher
I5300	Parkinson's Disease with ADL score of 5 or higher
I6300 O0100C, 2	Respiratory Failure with Oxygen therapy (while a resident)
K0510B, 1 or 2	Feeding tube with requirements*
M0300B, 1	Two or more Stage 2 pressure ulcers with two or more selected skin treatments**
M0300C, 1	Stage 3 pressure ulcer with two or more selected skin treatments**
M0300D, 1	Stage 4 pressure ulcer with two or more selected skin treatments**
M0300F, 1	Unstageable-Slough and/or eschar with two or more selected skin treatments**
M1030	Two or more Venous/Arterial ulcers with two or more selected skin treatments**
M0300B, 1 M1030	One Stage 2 pressure ulcer and One Venous/Arterial ulcer with two or more selected skin treatments**
M1040A M1200I	Infection of the foot with Application of dressing to feet
M1040B M1200I	Diabetic foot ulcer with Application of dressing to feet
M1040C M1200I	Other open lesion on the foot with Application of dressing to feet
O0100B, 2	Radiation treatment (while a resident)
O0100J, 2	Dialysis treatment (while a resident)

**Tube feeding requirements:*

(1) Proportion of total calories received through parenteral or tube feeding (K0710A3) is 51% or more of total calories during entire period

OR

(2) Proportion of total calories received through parenteral or tube feeding (K0710A3) is 26% to 50% of total calories and average fluid intake (K0710B3) is 501 cc or more during entire period

Category IV: Special Care Low

RUG-IV, 48-Group Hierarchical Classification

**Selected Skin Treatments for Special Care Low	
M1200A	Pressure reducing device for chair#
M1200B	Pressure reducing device for bed#
M1200C	Turning/repositioning program
M1200D	Nutrition or hydration intervention <i>to manage skin problems</i>
M1200E	Pressure ulcer care
M1200G	Application of non-surgical dressing (other than to feet)
M1200H	Application of ointment/medication (other than to feet)
# For RUG classification count as one treatment even if both are provided	

Step 1:

- A. If the assessment does not have one of these conditions or services coded, **skip to Category V, Clinically Complex.**
- B. If the assessment does have one of these conditions or services coded and the ADL score is 0 or 1, the assessment classifies as Clinically Complex; **skip to Category V, Clinically Complex (CA1 or CA2), Step #2.**
- C. If the assessment does have one of these conditions or services coded and the ADL score is 2 or more (with the exception of Cerebral Palsy, Multiple Sclerosis and Parkinson's Disease which requires an ADL score of 5 or higher), the assessment classifies as Special Care Low; **proceed to Step #2.**

Category IV: Special Care Low

RUG-IV, 48-Group Hierarchical Classification

Step #2:

This step evaluates for depression. Signs and symptoms of depression are used as a third-level split for the Special Care Low category. Assessments indicating signs and symptoms of depression are identified by the Resident Mood Interview (PHQ-9©) or the Staff Assessment of Resident Mood (PHQ-9-OV©). Instructions for completing the PHQ-9© are in the RAI Manual (Chapter 3, Section D).

The following MDS items comprise the Resident Mood Interview PHQ-9© and Staff Assessment of Resident Mood (PHQ-9-OV©):

Resident Interview	Resident Mood Symptom Frequency	Staff Assessment
D0200A, 2	Little interest or pleasure in doing things	D0500A, 2
D0200B, 2	Feeling (or appearing) down, depressed, or hopeless	D0500B, 2
D0200C, 2	Trouble falling or staying asleep, or sleeping too much	D0500C, 2
D0200D, 2	Feeling tired or having little energy	D0500D, 2
D0200E, 2	Poor appetite or overeating	D0500E, 2
D0200F, 2	Feeling bad about yourself – or that you are a failure or have let yourself or your family down	D0500F, 2
D0200G, 2	Trouble concentrating on things	D0500G, 2
D0200H, 2	Moving or speaking slowly or being fidgety or restless	D0500H, 2
D0200I, 2	Thoughts better off dead or hurting self	D0500I, 2
NA	Being short-tempered, easily annoyed	D0500J, 2
D0300	Total Severity Score These items are used to calculate a Total Severity Score; Item D0300 for the resident interview and Item D0600 for the staff assessment.	D0600

The assessment indicates depression for RUG-IV classification if:

- A.** The Total Severity Score PHQ-9© (D0300), which includes items D0200A-I (Resident Interview), is greater than or equal to 10 but not 99

OR

- B.** The Total Severity Score PHQ-9-OV© (D0600), which includes items D0500A-J (Staff Assessment), is greater than or equal to 10

Resident is considered depressed: Yes _____ No _____

Category IV: Special Care Low

RUG-IV, 48-Group Hierarchical Classification

Step #3:

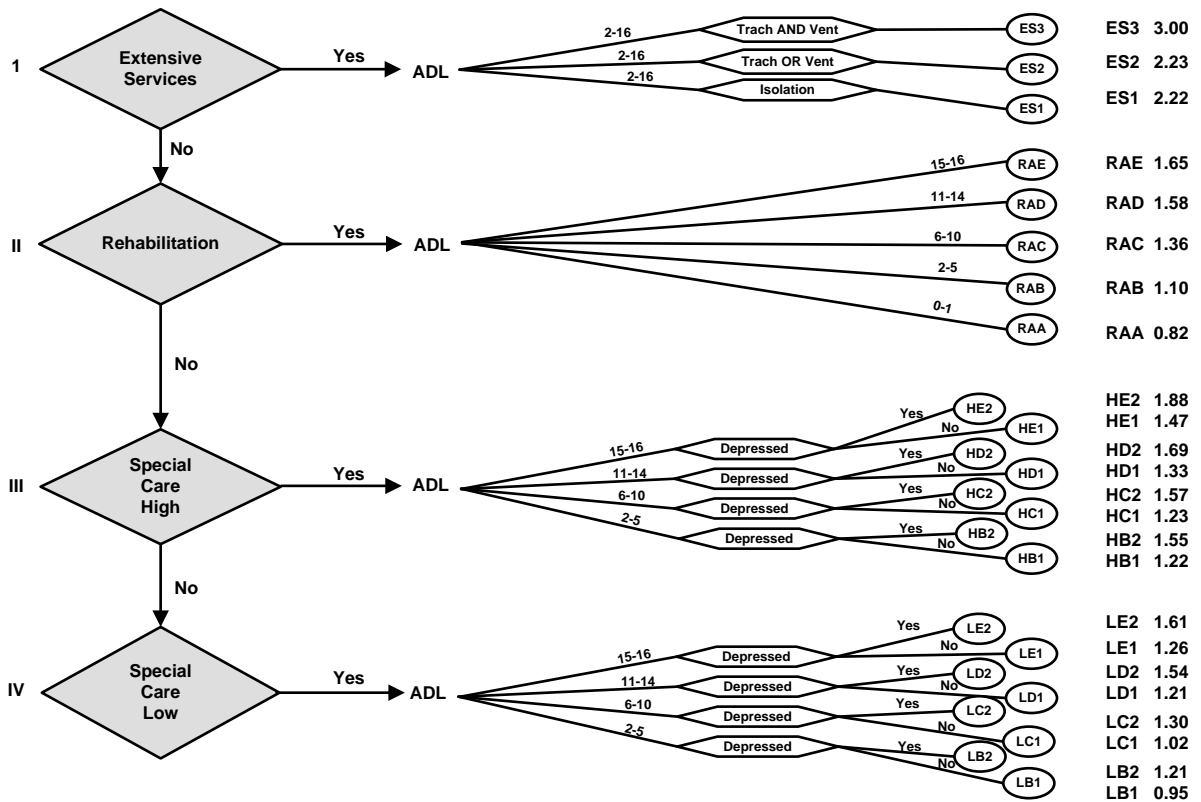
The final classification for Special Care Low is based on the following chart:

<u>ADL Score</u>	<u>Depressed</u>	<u>RUG-IV Class</u>	<u>CMI</u>
15 - 16	Yes	LE2	1.61
15 - 16	No	LE1	1.26
11 - 14	Yes	LD2	1.54
11 - 14	No	LD1	1.21
6 - 10	Yes	LC2	1.30
6 - 10	No	LC1	1.02
2 - 5	Yes	LB2	1.21
2 - 5	No	LB1	0.95

Special Care Low Classification _____

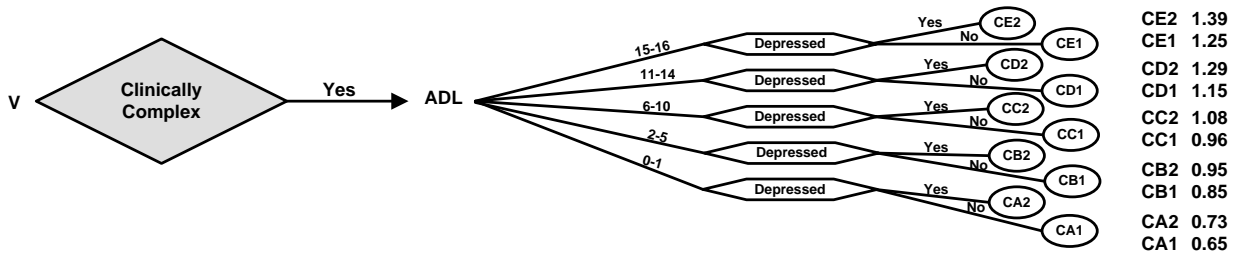
RUG-IV, 48-Group Hierarchical Classification

Categories I, II, III & IV



Category V: Clinically Complex

RUG-IV, 48-Group Hierarchical Classification



Determine whether the assessment is coded for one of the following conditions or services:

Clinically Complex Conditions or Services	
I2000	Pneumonia
I4900	Hemiplegia or Hemiparesis with ADL score of 5 or higher
M1040D	Open lesion other than ulcers, rashes, cuts with any selected skin treatment*
M1040E	Surgical wound with any selected skin treatment*
M1040F	Burn
O0100A, 2	Chemotherapy (while a resident)
O0100C, 2	Oxygen therapy (while a resident)
O0100H, 2	IV medication (while a resident)
O0100I, 2	Transfusion (while a resident)

*Selected Skin Treatments for Clinically Complex	
M1200F	Surgical wound care
M1200G	Application of non-surgical dressing (other than to feet)
M1200H	Applications of ointment/medication (other than to feet)

Step #1:

- A. If the assessment does not have one of these conditions or services coded, **skip to Category VI, Behavioral Symptoms and Cognitive Performance.**
- B. If the assessment did have one or more of the conditions or services listed for Special Care High or Special Care Low but the ADL score was 0 or 1, the assessment classifies as **Clinically Complex (CA1 or CA2), Step #2.**
- C. If the assessment does have one of these conditions or services coded, the assessment classifies as Clinically Complex; **proceed to Step #2.**

Category V: Clinically Complex

RUG-IV, 48-Group Hierarchical Classification

Step #2:

This step evaluates for depression. Signs and symptoms of depression are used as a third-level split for the Special Care Low category. Assessments indicating signs and symptoms of depression are identified by the Resident Mood Interview (PHQ-9©) or the Staff Assessment of Resident Mood (PHQ-9-OV©). Instructions for completing the PHQ-9© are in the RAI Manual (Chapter 3, Section D).

The following MDS items comprise the Resident Mood Interview PHQ-9© and Staff Assessment of Resident Mood (PHQ-9-OV©):

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D0200A, 2	Little interest or pleasure in doing things	D0500A, 2
D0200B, 2	Feeling (or appearing) down, depressed, or hopeless	D0500B, 2
D0200C, 2	Trouble falling or staying asleep, or sleeping too much	D0500C, 2
D0200D, 2	Feeling tired or having little energy	D0500D, 2
D0200E, 2	Poor appetite or overeating	D0500E, 2
D0200F, 2	Feeling bad about yourself – or that you are a failure or have let yourself or your family down	D0500F, 2
D0200G, 2	Trouble concentrating on things	D0500G, 2
D0200H, 2	Moving or speaking slowly or being fidgety or restless	D0500H, 2
D0200I, 2	Thoughts better off dead or hurting self	D0500I, 2
NA	Being short-tempered, easily annoyed	D0500J, 2
D0300	Total Severity Score These items are used to calculate a Total Severity Score; Item D0300 for the resident interview and Item D0600 for the staff assessment.	D0600

The assessment indicates depression for RUG-IV classification if:

- A.** The Total Severity Score PHQ-9© (D0300); which includes items D0200A-I (Resident Interview) is greater than or equal to 10, but not 99

OR

- B.** The Total Severity Score PHQ-9-OV© (D0600); which includes items D0500A-J (Staff Assessment) is greater than or equal to 10

Resident is considered depressed: Yes _____ No _____

Category V: Clinically Complex

RUG-IV, 48-Group Hierarchical Classification

Step #3:

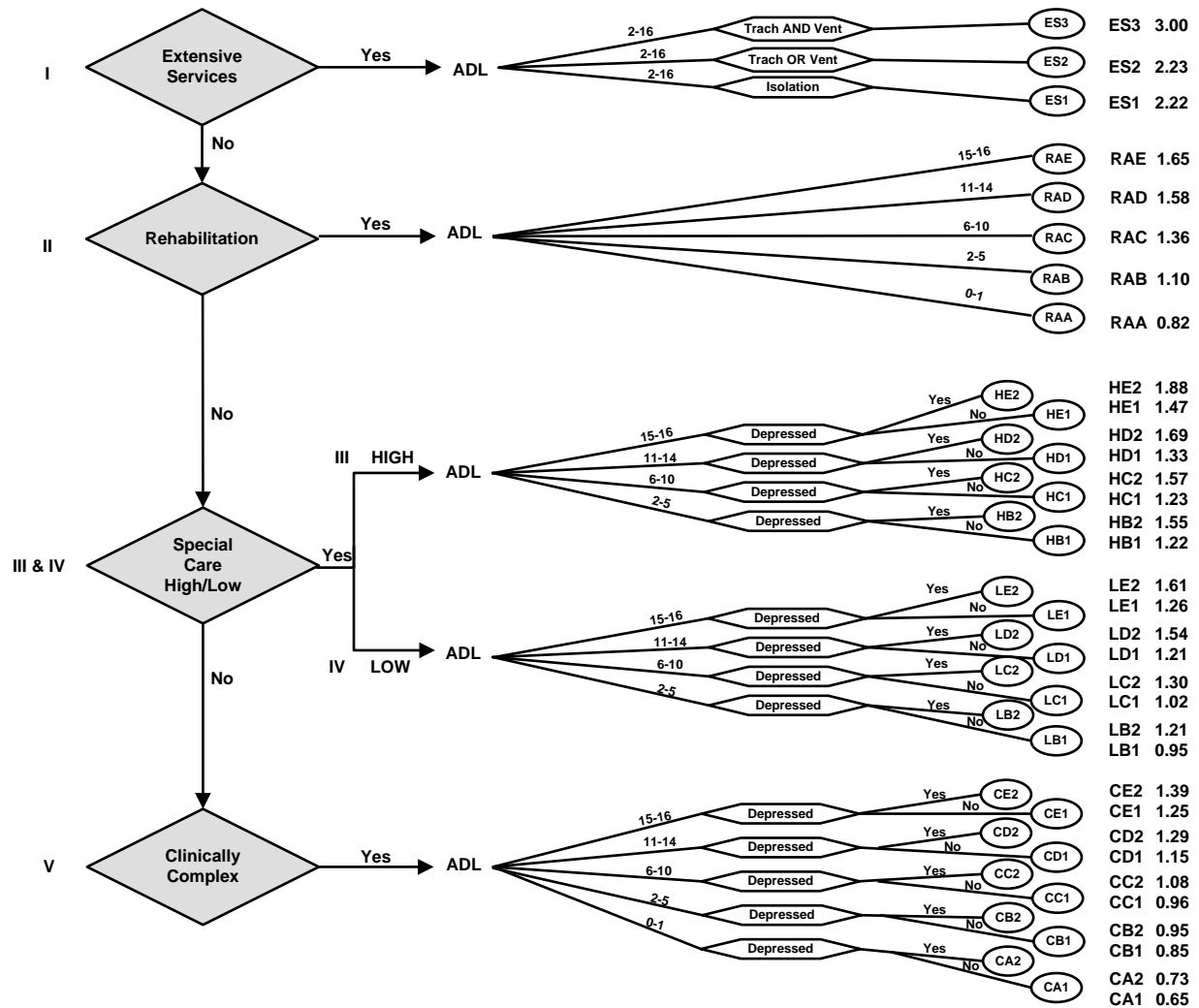
The final classification for Clinically Complex is based on the following chart:

<u>ADL Score</u>	<u>Depressed</u>	<u>RUG-IV Class</u>	<u>CMI</u>
15 - 16	Yes	CE2	1.39
15 - 16	No	CE1	1.25
11 - 14	Yes	CD2	1.29
11 - 14	No	CD1	1.15
6 - 10	Yes	CC2	1.08
6 - 10	No	CC1	0.96
2 - 5	Yes	CB2	0.95
2 - 5	No	CB1	0.85
0 - 1	Yes	CA2	0.73
0 - 1	No	CA1	0.65

Clinically Complex Classification _____

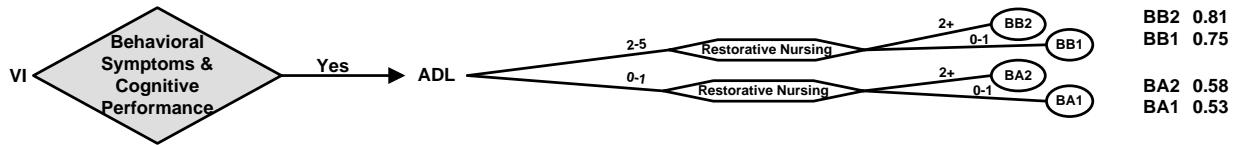
RUG-IV, 48-Group Hierarchical Classification

Categories I, II, III, IV & V



Category VI: Behavioral Symptoms and Cognitive Performance

RUG-IV, 48-Group Hierarchical Classification



Classification in this category is based on the impaired cognitive performance or the presence of certain behavioral symptoms. Use the following instructions in this order:

Step #1:

- A. If the ADL score is greater than 5, **skip to Category VII, Reduced Physical Function.**
- B. If the ADL score is 5 or less, **proceed to Step #2.**

Step #2:

- A. If the resident interview using the Brief Interview for Mental Status (BIMS) was not conducted (indicated by a value of "0" for Item C0100), **skip to Step #3.**
- B. If the resident interview using the Brief Interview for Mental Status (BIMS) was conducted, determine the resident's cognitive status based on the following:

Brief Interview for Mental Status (BIMS) Items	
C0200 - Repetition of three words	
C0300 - Temporal Orientation	
C0300A	Able to report correct year
C0300B	Able to report correct month
C0300C	Able to report correct day of the week
C0400 - Recall	
C0400A	Able to recall "sock"
C0400B	Able to recall "blue"
C0400C	Able to recall "bed"
C0500	BIMS Summary Score The BIMS Summary Score, a range of 0-15, indicates cognitive level of performance. A score of 15 indicates the resident is cognitively intact while a score of 0 indicates severe cognitive impairment. If the interview is not successful, then the BIMS Summary Score will equal 99.

- C. For RUG-IV classification, if the Summary Score is less than or equal to 9, he/she is considered cognitively impaired and the assessment classifies in the Behavioral Symptoms and Cognitive Performance category; **skip to Step #5.**
- D. If the Summary Score is greater than 9, but not 99, **skip to Step #4.**
- E. If the Summary Score is 99 (resident interview not successful) or the Summary Score is blank (resident interview not attempted and skipped) or the Summary Score has a dash value (not assessed), **proceed to Step #3.**

Category VI: Behavioral Symptoms and Cognitive Performance

RUG-IV, 48-Group Hierarchical Classification

Step #3:

If the resident chooses not to participate in the BIMS items or if four or more items were coded 0 because he/she chose not to answer or gave a nonsensical response, the cognitive impairment level will be determined based upon the staff assessment rather than resident interview.

Cognitive Performance Scale (CPS) - Staff Assessment	
B0100	Comatose with ADL Self-Performance dependency code of 4 or 8 for all late loss ADLs OR
C1000=3	Severely impaired cognitive skills OR
Two or more of the following impairment indicators:	
B0700>0	Makes Self Understood (<i>problem being understood</i>)
C0700=1	Short-Term Memory (<i>problem</i>)
C1000>0	Cognitive Skills for Daily Decision Making (<i>problem</i>)
AND	
One or both of the following severe impairment indicators:	
B0700>=2	Makes Self Understood (<i>severe problem being understood</i>)
C1000>=2	Cognitive Skills for Daily Decision Making (<i>severe problem</i>)

- A. If the assessment does not satisfy any of the above criteria, then he/she is not considered cognitively impaired, **skip to Step #4.**
- B. If the assessment does satisfy one of the above criteria for being cognitively impaired, then he/she is considered cognitively impaired and the assessment classifies in Behavioral Symptoms and Cognitive Performance; **skip to Step #5.**

Step #4:

If the resident is not cognitively impaired, determine whether the assessment is coded for one of the following behavioral symptoms:

Behavioral Symptoms	
E0100A	Hallucinations
E0100B	Delusions
E0200A, 2 or 3	Physical behavioral symptoms directed toward others
E0200B, 2 or 3	Verbal behavioral symptoms directed toward others
E0200C, 2 or 3	Other behavioral symptoms not directed toward others
E0800, 2 or 3	Rejection of Care
E0900, 2 or 3	Wandering

- A. If the assessment does not have one of these behaviors coded, **skip to Category VII, Reduced Physical Function.**
- B. If the assessment does have one of these behaviors coded, the assessment classifies as Behavioral Symptoms and Cognitive Performance; **proceed to Step #5.**

Category VI: Behavioral Symptoms and Cognitive Performance

RUG-IV, 48-Group Hierarchical Classification

Step #5:

The final step in determining the Behavioral Symptoms and Cognitive Performance classification is the Restorative Nursing Program Count.

Count the number of restorative nursing programs provided 6 or more days:

Restorative Nursing Programs	
H0200C	Current toileting program or trial#
H0500	Bowel toileting program#
O0500A	Range of motion (passive)#
O0500B	Range of motion (active)#
O0500C	Splint or brace assistance
O0500D	Bed mobility#
O0500F	Walking#
O0500E	Transfer
O0500G	Dressing and/or grooming
O0500H	Eating and/or swallowing
O0500I	Amputation/Prosthesis care
O0500J	Communication
# For RUG classification count as one program even if both provided	

Record Restorative Nursing Program Count: _____

Step #6:

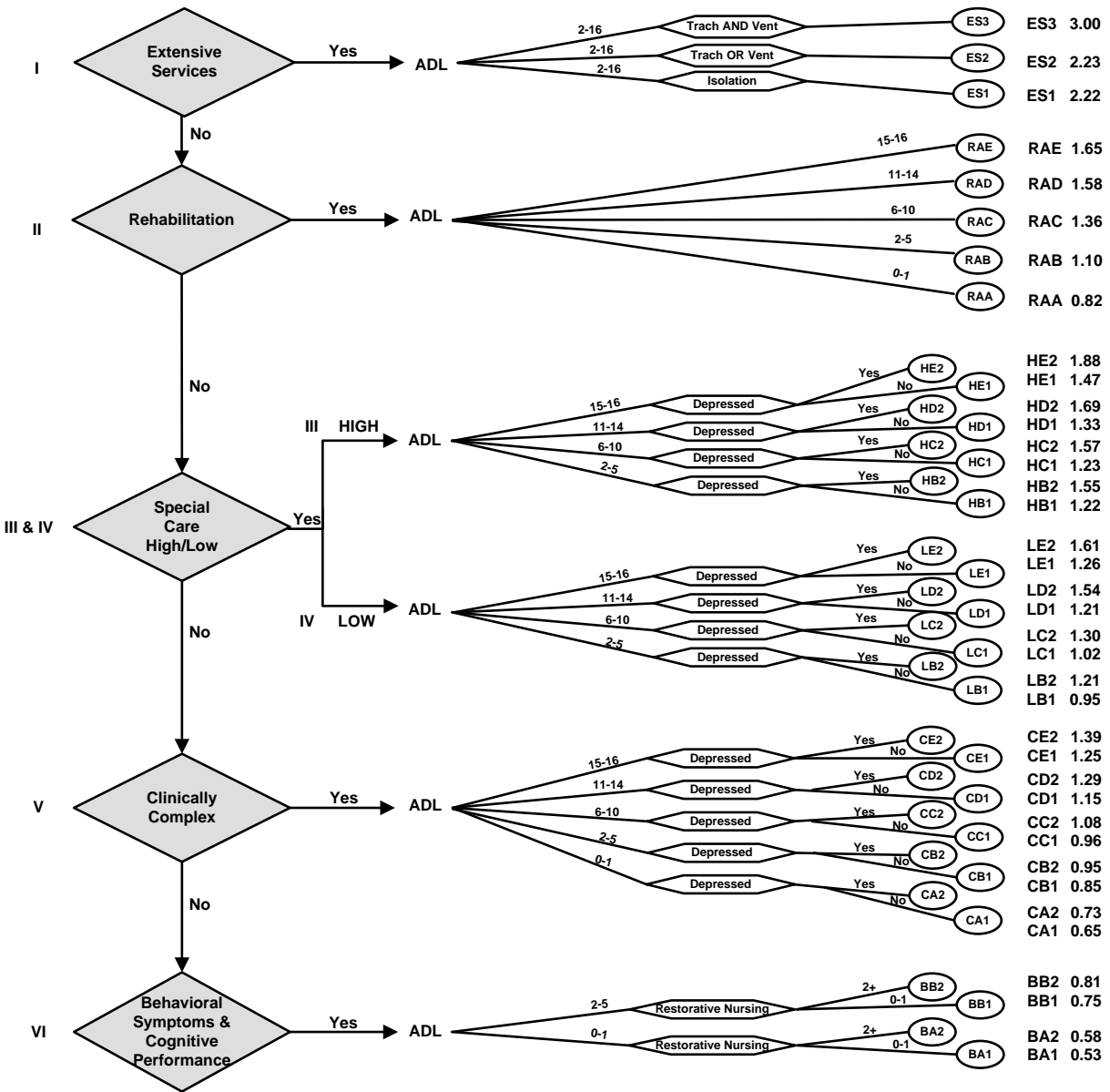
The final classification for Behavioral Symptoms and Cognitive Performance is based on the following chart:

<u>ADL Score</u>	<u>Restorative Nursing</u>	<u>RUG-IV Class</u>	<u>CMI</u>
2 - 5	2 or more programs	BB2	0.81
2 - 5	0 or 1 program	BB1	0.75
0 - 1	2 or more programs	BA2	0.58
0 - 1	0 or 1 program	BA1	0.53

Behavioral Symptoms and Cognitive Performance Classification _____

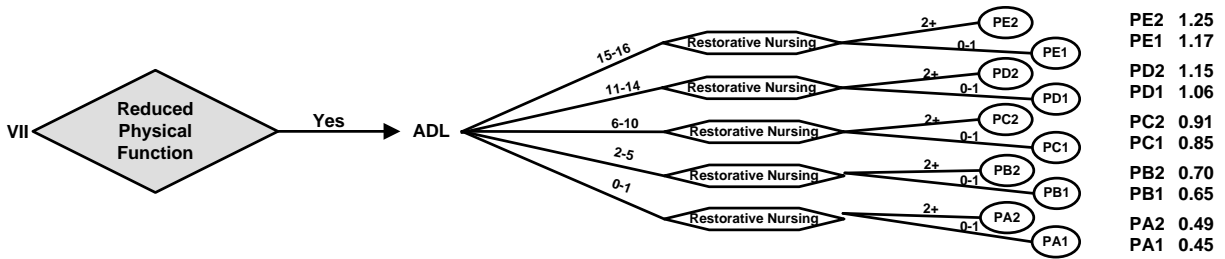
RUG-IV, 48-Group Hierarchical Classification

Categories I, II, III, IV, V & VI



Category VII: Reduced Physical Function

RUG-IV, 48-Group Hierarchical Classification



Step #1:

Assessments that do not meet the conditions of any of the previous categories, including those assessments that met the criteria for the Behavioral Symptoms and Cognitive Performance category but have an ADL score greater than 5, are classified in this category; **proceed to Step #2.**

Step #2:

Determine Restorative Nursing Program Count

Count the number of restorative nursing programs provided for 6 or more days:

Restorative Nursing Programs	
H0200C	Current toileting program or trial#
H0500	Bowel toileting program#
O0500A	Range of motion (passive)#
O0500B	Range of motion (active)#
O0500C	Splint or brace assistance
O0500D	Bed mobility#
O0500F	Walking#
O0500E	Transfer
O0500G	Dressing and/or grooming
O0500H	Eating and/or swallowing
O0500I	Amputation/Prosthesis care
O0500J	Communication
# For RUG classification count as one program even if both provided	

Record Restorative Nursing Program Count: _____

Category VII: Reduced Physical Function

RUG-IV, 48-Group Hierarchical Classification

Step #3:

The final classification for Reduced Physical Function is based on the following chart:

<u>ADL Score</u>	<u>Restorative Nursing</u>	<u>RUG-IV Class</u>	<u>CMI</u>
15 - 16	2 or more programs	PE2	1.25
15 - 16	0 or 1 program	PE1	1.17
11 - 14	2 or more programs	PD2	1.15
11 - 14	0 or 1 program	PD1	1.06
6 - 10	2 or more programs	PC2	0.91
6 - 10	0 or 1 program	PC1	0.85
2 - 5	2 or more programs	PB2	0.70
2 - 5	0 or 1 program	PB1	0.65
0 - 1	2 or more programs	PA2	0.49
0 - 1	0 or 1 program	PA1	0.45
	Delinquent Group*	BC1	0.45

Reduced Physical Function Classification _____

***Delinquent Assessment Definition:**

For purposes of Medicaid reimbursement only, each assessment may be considered active for up to 113 days. Active days are measured between two consecutive assessments using the ARD dates of both assessments. If no new assessment is completed, transmitted and accepted, the period beginning day 114 is considered an inactive assessment or expired assessment period.

The days following an expired assessment (starting the 114th day) will be assigned the delinquent RUG classification of BC1 with a CMI of 0.45.

Category VII: Reduced Physical Function

RUG-IV, 48-Group Hierarchical Classification

